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United Nations Development Programme

GCF Readiness Programme Support/ Initiation Plan

Project title: URU/19/001 Green Climate Fund Readiness and Preparatory Support – Uruguay - Second phase		
Country: URUGUAY		
Management Arrangements: DIM		Implementing Partner: UNDP CO
UNDAF/Country Programme Outcome: 1.1 The country has strengthened its capacities and institutional framework to ensure the preservation of natural resources including water, eco systemic services, pollution prevention and generation and sustainable use of energy, promoting local development and creation of livelihood. 1.3 The country has strengthened its capacities for mitigation and adaptation to climate change, as well as its disaster resilience.		
UNDP Strategic Plan Signature solution 3: Enhance national prevention and recovery capacities for resilient societies.		
UNDP Gender Marker: 2		
Atlas Award ID number: 00115131		Atlas Output ID number: 00112876
UNDP-GEF PIMS ID number: 6236		
Planned start date January 2019		Planned end date: June 2020
Brief project description: This project seeks to deepen the engagement of Uruguay with the GCF, continuing the efforts that were initiated under previous funding for readiness support in 2017. The initial coordination mechanism, which has been established and led by the NDA (MVOTMA), will be maintained and strengthened among involved institutions, looking for a systematic and streamlined participation of all potential beneficiary sectors, including the private sector and civil society, and a better engagement with the Fund opportunities. The GCF-Country Programme adaptation priorities will be updated building on the NAPs processes currently under development (agriculture, coastal, and cities and infrastructures). The project also seeks to strengthen the NDA through south-south and triangular cooperation sharing experiences and lessons learnt with other regional NDAs. A Climate Change and Gender Strategy/Plan in the framework of the Country Programme will be elaborated, to assure gender responsiveness as a cross cutting issue. The NDA will also support the accreditation processes of the two-nominated potential Accredited Entities.		
FINANCING PLAN		
GCF grant		USD \$ 469.766 (excluding DP fee)
Total Budget administered by UNDP		USD \$ 469.766 (excluding DP fee)
SIGNATURES		
Signature: print name below 	Agreed by UNDP Resident Representative Paula Veronelli Oficial a Cargo	Date/Month/Year: 4/2/2019



Readiness and Preparatory Support Proposal

How to complete this document?

- A Readiness Guidebook is available to provide information on how to access funding under the GCF Readiness and Preparatory Support programme. It should be consulted to assist in the completion of this proposal template.
- This document should be completed by National Designated Authorities (NDAs) or focal points with support from their delivery partners where relevant.
- Please be concise. If you need to include any additional information, please attach it to the proposal.
- Information on the indicative list of activities eligible for readiness and preparatory support and the process for the submission, review and approval of this proposal can be found on pages 11-13 of the guidebook.
- For the final version submitted to GCF Secretariat, please delete all instructions indicated in italics in this template and provide information in regular text (not italics).

Where to get support?

- If you are not sure how to complete this document, or require support, please send an e-mail to countries@gcfund.org. We will aim to get back to you within 48 hours.
- You can also complete as much of this document as you can and then send it to countries@gcfund.org. We will get back to you within 5 working days to discuss your submission and the way forward.

Note: Environmental and Social Safeguards and Gender

Throughout this document, when answering questions and providing details, please make sure to pay special attention to environmental, social and gender issues, particularly to the situation of vulnerable populations, including women and men. Please be specific about proposed actions to address these issues. Consult page 7 of the readiness guidebook for more information.

SECTION 1: SUMMARY	
1. Country submitting the proposal	<p>Country name: URUGUAY</p> <p>Name of institution representing National Designated Authority (NDA) or Focal Point: Ministry of Housing, Land Planning and Environment (MVOTMA)</p> <p>Name of NDA/Focal Point: Ignacio Lorenzo Position: Climate Change Director</p> <p>Telephone: +598 2917 0710 ext. 1229 Email: ilorenzo@mvotma.gub.uy gcf@mvotma.gub.uy</p> <p>Full office address: Zabala 1432, 4th floor. Montevideo</p>
2. Date of initial submission	31/05/2018
3. Last date of resubmission	07/06/2018
4. Which entity will implement the Readiness and Preparatory Support project?	<p><input type="checkbox"/> National Designated Authority <input type="checkbox"/> Accredited Entity <input checked="" type="checkbox"/> Delivery Partner</p> <p>Name of institution: United Nations Development Programme - Uruguay</p> <p>Name of official: Mireia Villar Forner Position: Resident Representative UNDP Country Office Uruguay</p> <p>Telephone: +598 29093806 Email: mireia.villar.forner@one.un.org</p> <p>Full Office address: Programa de las Naciones Unidas para el Desarrollo, Paraguay 1470 piso 5, Montevideo, Uruguay.</p>
5. Title of the Readiness support proposal	Green Climate Fund Readiness and Preparatory Support – Uruguay - Second phase
6. Type of Readiness support sought	<p><input checked="" type="checkbox"/> Readiness</p> <ul style="list-style-type: none"> <input type="radio"/> Establishing and strengthening national designated authorities or focal points <input type="radio"/> Strategic frameworks, including the preparation of country programmes <input type="radio"/> Support for accreditation and accredited direct access entities <p><input type="checkbox"/> Adaptation Planning</p>
7. Brief summary of the request	<p>1. In the last years, Uruguay has increased its political commitment in relation to climate change aspects. At the institutional level, there is a deeper involvement of relevant stakeholders and early actions have been taken to face the impacts and to mitigate the causes of climate change. Being a highly vulnerable country, Uruguay has facilitated adaptation measures in priority sectors, such as agriculture, coastal areas and risk management. Regarding mitigation, the country achieved the de-carbonization of the electric grid and an increase in the participation of renewable energies in the primary energy mix. In this framework, the country has developed, in a participatory manner, the National Climate Change Policy 2050 during 2016 and in 2017 Uruguay developed and submitted the first NDC under the Paris Agreement. Both have been approved by the Government in November 2017 (Decree N° 317). These instruments strengthen the national institutional framework to face climate change and at the same time contribute to increase public awareness on this issue. Recently, the country has made progress in National Adaptation Plans, which cover sensitive sectors of the economy and society. In particular, the NAP in agriculture sector, the NAP in coastal areas and the NAP for cities and infrastructure are being rolled out in a coordinated manner with other projects and processes that are under implementation, including energy efficiency initiatives in different</p>



sectors, sustainable transport in cities and a binational project for implementing adaptation measures in boarder cities and natural ecosystems, among others.

2. In 2017, Uruguay received funding from GCF for readiness Support, which is now being rolled-out by UNDP under the guidance of NDA. This support, expected to be finalized by December 31st, 2018, has allowed for:
 - the NDA to finish its Country Programme Brief that was presented in the first Structured Dialogue of Latin America and the Caribbean in March 2018;
 - the development of one project idea identified in the Country Program Brief into a funding proposal with a Direct Access Entity regarding the acceleration of electric mobility to be submitted and assessed in 2019 within the Private Sector Facility. This idea was awarded as one of the thirty best concept notes in the Mobilizing Fund at Scale RfP;
 - the initiation of two other project ideas regarding climate services and urban adaptation in coastal areas;
 - to consider for nomination two other regional Direct Access Entities and to nominate a second national Direct Access Entity (BROU).
 - to follow up on the accreditation process of its two nominated national Direct Access Accredited Entity (CND and BROU);
 - strengthened the capacities of the NDA to consider funding proposals presented by Accredited Entities in the NOL procedure, and build specific relevant capacities in the Environmental and Social Policy and in gender issues
 - Drafting and signature of the Privileges and Immunities Agreement of the Green Climate Fund in Uruguay.
3. In this context, the request made herein for additional readiness funds will allow continuing efforts to deepen the engagement of Uruguay with the GCF. UNDP has been selected as delivery partner, to facilitate the continuity of the processes, actions and team of experts, which have supported the NDA in a highly satisfactory manner.
4. An initial coordination mechanism has been established and led by MVOTMA comprising: a direct daily involvement of the Ministry of Economy and Finance. MVOTMA reports all NDA actions and decisions, such as proposal prioritization and the strategic guidelines of country programming to the National Environmental Cabinet (comprised of the President of Uruguay, the Environment, Water and Climate Change National Secretariat, and the Ministries of Housing, Land Planning and Environment, Agriculture, Industry, Energy and Mines, Health, National Defense and Economy and Finance). MVOTMA also consults with relevant stakeholders in the framework of the National Climate Change Response System, which is developing an integrated PNCC-NDC MRV tool, which includes climate finance tracking and the GCF Country Programme.
5. This coordination mechanism will be maintained and strengthened among institutions involved, looking for a systematic and streamlined participation of all potential beneficiary sectors, including the private sector and civil society, and a better engagement with the Fund opportunities. This will be implemented through regular meetings in person among the main institutions of the Coordination Mechanism (public institutions involved) and in a more flexible basis for other relevant stakeholder: through specific meetings and workshops regarding particular topics, and looking for the engagement of specific private and civil society actors. This mode of functioning will be complemented by fluid virtual communication. Apart from that, the project seeks to strengthen the NDA through south-south and triangular cooperation, sharing experiences and lessons learnt with other regional NDAs.
6. Under the framework of the National Climate Change Response System, there is an inter-institutional working group focused on the MRV of the National Climate Change Policy and the measures included in the NDC. The group also works on programming some of the measures included in the Climate Change Policy and in the NDC that are not being developed yet. As it can be seen, there is a clear link among these activities and the contribution that the support project will make: the assessment and update, as appropriate, of the country programme

	<p>currently in place will relate and complement the work being made by the MRV working group. In this regard, the stakeholder's involvement will be enhanced through the development of additional activities and workshops. The private sector participation is considered as crucial in the update of the country programme (maintaining the balanced approach between adaptation and mitigation) and in specific initiatives regarding the GCF Private Sector Facility, for which its involvement will be encouraged.</p> <p>7. A Climate Change and Gender Strategy/Plan in the framework of the country programme will be elaborated, to assure gender responsiveness as a cross cutting issue.</p> <p>8. As previously mentioned Uruguay currently has two potential Accredited Entities under the process of accreditation. In this sense, the NDA will continue to support those processes.</p> <p>9. Qualitative progress will be achieved under this new support from the GCF, since the private sector is expected to engage in specific initiatives and invest in climate friendly projects/programmes. This initiatives are expected to emerge from the different exchanges with the stakeholders, and at the same time to be aligned with the Government lines of work, objectives (NDC) and specially the areas considered under the country programme with the GCF (resilient housing, water resources, transport, etc).</p>
8. Total requested amount and currency	USD 509.696
9. Anticipated duration	18 months (starting in January 2019)

SECTION 2: LOGICAL FRAMEWORK

Please complete the table below by including proposed outcomes, baseline situations, the targets for implementation period, and the activities to be undertaken, including key outputs or deliverables. Please add rows for additional outcomes as needed. For further guidance on completing the table, please refer to the guidebook "Accessing the GCF Readiness and Preparatory Support Programme", including specific Outcomes to select from.

OUTCOMES	BASELINE (as expected by December 2018)	TARGET	ACTIVITIES
1. Country capacity strengthened 1.1 NDA/focal point lead effective coordination mechanism	2=NDA/focal point leads an effective coordination mechanism, allowing for an overview of all operational sectors and meets regularly	2=NDA/focal point leads an effective coordination mechanism, allowing for an overview of all operational sectors and meets regularly	1.1.1 Support the Coordination Mechanism established in the first readiness support, through at least four coordination meetings and regular NDA activity reports to the National Environment Cabinet which will be institutionalised by inclusion in the NDA workplan. 1.1.2 Training NDA and representatives from institutions that integrate the Coordination Mechanism in areas relevant to the Country Programme. 1.1.3 Draft the annual activity report template Deliverables/Timeframe: 1.1.1 Four Meeting Reports of the Coordination Mechanism and at least two NDA activity reports to the National Environment Cabinet 1.1.2 Two training courses reports Timeframe: 18 months after first disbursement 1.1.3 Annual activity report template Timeframe: 6 months after first disbursement.
1.2 No objection procedure established and implemented	2=No objection procedure established, disseminated in local languages and implemented	2=No objection procedure established, disseminated in local languages and implemented	1.2.1 Develop completeness checklist, funding proposal assessment template, and Terms of Reference for request for proposals; Deliverables/Timeframe: 1.2.1 a. Completeness checklist and funding proposal assessment templates. Timeframe: 4 months after first disbursement 1.2.1.b.RFP process terms of reference Timeframe: 4 months after first disbursement

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1.3 Monitoring, oversight and streamlining of climate finance	1=Some sources of internal and external climate finance is being monitored, however further oversight mechanism and streamlining of climate finance flows is required	2= Monitoring, oversight systems for all sources of internal and external climate finance established, streamlined and operational	<p>1.3.1 Conduct training for national officers on the monitoring and oversight system for climate finance. The monitoring and oversight climate finance system is based on the domestic MRV framework of the first NDC.</p> <p>1.3.2 Apply the monitoring and oversight system for climate finance for two specific sectors of the NDC, related to country programme priorities.</p> <p>1.3.3. Develop an action plan to address the findings from monitoring and oversight system for the two specific sectors</p> <p>Deliverables/Timeframe:</p> <p>1.3.1 Training package material and training report. Timeframe: 6 months after first disbursement</p> <p>1.3.2 Monitoring report for the two specific sectors of the NDC Timeframe: 15 months after first disbursement</p> <p>1.3.3. Action plan to address the findings from monitoring and oversight system for the two specific sectors Timeframe: 18 months after first disbursement</p>
2. Stakeholders engaged			
2.1 Stakeholders engaged in consultative processes	1=Some stakeholders are being consulted on an ad-hoc basis, however a formal consultation process is not established	2= Stakeholders engaged in consultative processes (governments, private sector, associations, civil society, academia and others)	<p>2.1.1 Conduct 2 stakeholder engagement workshops based on the terms of reference of the consultative process developed in the first readiness support, promoting gender inclusiveness and gender balance. One workshop will contribute to the development of the Climate Change and Gender Strategy and the other to the update of the Country Programme.</p> <p>Deliverables/Timeframe:</p> <p>2.1.1a Report of the Workshop on Gender Strategy with the views and feedback from the targeted stakeholders (national and local governments, civil society, private sector and academia) Timeframe: 10 months after first disbursement</p> <p>2.1.1b Report of the Workshop on Country Programme with the views and feedback from the targeted stakeholders (national and local governments, civil society, private sector and academia) Timeframe: 16 months after first disbursement</p>
2.2 Country programmes, including adaptation priorities, developed and continuously updated	1= Development of country programme has started,	2=Country programmes developed and continuously updated and	<p>2.2.1 Update the Country Programme adaptation priorities building on the NAPs processes currently under development (agriculture, coastal, and cities and infrastructures) and presentation of the Updated Country Programme to the National Environmental Cabinet for approval</p> <p>Deliverables/Timeframe:</p>

2.3 Gender considerations	however consultation procedures not yet established	progress assessed regularly in a consultative manner	2.2.1a Updated and approved Country Programme by the Environmental Cabinet ¹ Timeframe: 15 months after first disbursement 2.2.1b Country Programme information materials Timeframe: 17 months after first disbursement
	1= Gender equality measures are considered in parts of stakeholder consultation processes	2=Stakeholder consultations conducted with equal representation of women	2.3.1 Develop a Climate Change and Gender Strategy in the framework of the National Climate Change Policy, the NDC and the National Strategy for Gender Equality. The Gender Policy and the Gender Action Plan of the GCF will be taken in consideration for coherence and completeness. The Climate Change and Gender Strategy will be part of the NDC implementation and will cover GCF-funded activities. Deliverables/Timeframe: 2.3.1a Climate Change and Gender Strategy Timeframe: 16 months after first disbursement 2.3.1b Information materials for sensitization on the Climate Change and Gender Strategy. Timeframe: 17 months after first disbursement
3. Direct access realized			
3.1 Accreditation of direct access entities	2= Candidate sub-national, national or regional entity/ies for accreditation identified and nominated for accreditation	2= Candidate sub-national, national or regional entity/ies for accreditation identified and nominated for accreditation	3.1.1 Support the accreditation process to the GCF of the two national direct access entities nominated by the NDA (CND and BROU), including through facilitation of communications between the entities and the GCF team and tracking down of progress achieved in the accreditation process, other support areas may include the training on overall GCF policies and procedures, as well as NDA's processes. Technical advisory support from the NDA in project design and alignment of projects within country programming and NDCs. Deliverables/Timeframe: 3.1.1 Status reports of the accreditation process to the NDA of the nominated national direct access entities Timeframe: Every 2 months during accreditation process
4. Access to finance			
4.1 Structured dialogue between the NDA/Accredited Entities and the GCF Secretariat organized	1= Separate ad-hoc dialogues existing between	2 Accredited entities operating in the country engaged in a	4.1.1 Organize structured dialogue instances between the GCF, the NDA and national direct access entities (accredited and/or under accreditation process). Deliverables/Timeframe: 4.1.1a Three conference calls with GCF Secretariat

¹ It is envisaged that the Country Program will be approved by the Environmental Cabinet as it is aligned with the national priorities regarding climate change policy and the NDC both adopted at the Environmental Cabinet. However, it is not possible to ensure the timing of such approval as the Cabinet's agenda is out of the scope of the readiness project

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	NDA/FP and some accredited entities	structured dialogue with the NDA/FP and the GCF Secretariat along with other country stakeholders annually.	Timeframe: every six months 4.1.1b One mission of NDA and national accredited entities to the regional structured dialogue Timeframe: 6 months after first disbursement (tentative date to be agreed with GCF Secretariat)
4.2 Country programmes/ concept notes development, including on adaptation,	1= Country programme developed and submitted	2=Concept notes developed and submitted	4.2.1 Development, together with Accredited Entity to be identified by the NDA, of one project idea (conceptualized with support of the first readiness project) into a full funding proposal. Deliverable/Timeframe 4.2.1 : Funding Proposal Timeframe: 18 months after first disbursement
4.3 Project preparation support, including for adaptation project/projects programmes,	0= No project/programme preparation support is sought from the GCF	1=Proposal for project preparation support, including project preparation facility, is submitted to GCF	4.3.1 Proposal for project preparation facility submitted to the GCF for one project idea, developed with an AE to be identified by the NDA. This proposal is different from the one intended under 4.2.1. Deliverables/Timeframe: 4.3.1 Project preparation facility proposal Timeframe: 18 months after first disbursement
5. Private sector mobilization			
5.1 Private sector engagement	1= Some private sector actors are engaged in consultative processes, however no structured dialogue established (e.g. some	2= Private sector engagement is systematically part of the country consultative processes and structured dialogues with the NDA/FP, ac-	5.1.1 Conduct three workshops with the private sector to identify specific investment opportunities for selected subsectors. Deliverables/Timeframe: 5.1.1a Three meeting reports including specific investment opportunities for selected subsectors identified. Timeframe: 6, 12, 18 months after first disbursement 5.1.1b Information materials and communication pieces for the private sector Timeframe: 4 months after first disbursement



	private sector events organized)	credited entities and the GCF Secretariat	
5.2 Crowding-in private sector investments at national, regional and international levels exists	1= Some private sector (e.g. only international) investments happening in the country, however no local private sector entities involved	1= Some private sector (e.g. only international) investments happening in the country, however no local private sector entities involved	5.2.1 Develop an analysis of potential instruments for crowding in of the private sector in NDC priority sectors, including international and regional best practices, to be considered by the National Climate Change Response System. Deliverables/Timeframe: 5.2.1 Analysis report of potential instruments for crowding in of the private sector in NDC priority sectors Timeframe: 18 months after first disbursement



SECTION 3: ADDITIONAL INFORMATION

1. During the last years Uruguay has strengthened its climate change institutional arrangements on one side, and implemented early and effective measures to tackle this phenomenon, on the other. In particular, in 2017 the Government approved the National Climate Change Policy –PNCC- (elaborated under a participatory process during 2016) and the country submitted its first NDC to the Paris Agreement, in the framework of and consistent with the PNCC. Uruguay is extremely committed to undertake a sustainable development pathway, with a climate change perspective, and in this sense, the GCF gives an opportunity for the public and private sector to engage with sustainable investments for implementing adaptation and mitigation measures.
2. Uruguay is particularly vulnerable to the adverse effects of climate change. Every year it is impacted by extreme events such as severe storms, floods, droughts, cold and heat waves, high winds, tornadoes, hail, frost and heavy rain affecting our populations, infrastructure, productive processes and our ecosystems and biodiversity. Our economy is based on the agricultural sector. The vulnerability that the sector presents is particularly relevant regarding the country role in the food production and in terms of food security at a global level.
3. In order to deal with climate change impacts, among other measures, Uruguay is carrying out the elaboration of National Adaptation Plans. These plans cover three of the main sectors for the national economy: the national adaptation plan in coastal areas, which will lead to a more resilient tourist sector; the National Adaptation plan in Agriculture, and the National Adaptation Plan for cities and infrastructure through the GCF Readiness Support. As established in the Uruguayan NDC, to implement these and other related measures, the country will make relevant efforts but will also need to receive support from the international community. In this sense, this Readiness Support will contribute to incorporate the adaptation measures identified through the 3 NAPs in the Country Programme, and to engage the private sector in the related financing and investment opportunities for adaptation.
4. Uruguay has already reached a structural transformation of its electrical matrix, with a share of renewable energy of 96%. Even if renewables account for more than 50% of the global energy mix, there is still need to make progress on others subsectors, mainly in transport. In that sense, the NPCC establishes that transportation systems will be more sustainable through increasing efficiency and the combination of energy sources and technologies of lower GHG emissions, tending to a GHG reduction in the sector. The NDC presents mitigation measures related to more sustainable transport systems. In that regard, the country is submitting a full proposal to the Private Sector Facility of the GCF during 2018, with the support of the Readiness Support Project, to accelerate the introduction of electric mobility in the public transport subsector. It is expected that this stage will contribute to a major change in the transport sector in the country in the medium and long term.
5. The Country Programme is being elaborated in the framework of the PNCC and the NDC, and helped to prioritize adaptation and mitigation measures for implementation with the support of the GCF, all of which have relevant co-benefits for the population and sectors involved. This tool is supporting the concretion of project ideas that are being submitted to different GCF windows during 2018.



SECTION 4: BUDGET, PROCUREMENT, IMPLEMENTATION AND DISBURSEMENT

4.1. Budget Plan

Project Title:	Green Climate Fund Readiness and Preparatory Support – Uruguay - Second phase
PIMS ID:	6236
Business Unit:	URY10
Award ID:	115131
Project ID:	112876
Implementing Partner (Executing Agency)	UNDP CO

GCF Readiness Outcome/Atlas Activity	Responsible Party	Fund ID	Donor Name	Atlas Budgetary Account Code	ATLAS Budget Description	Amount US\$ (2019)	Amount US\$ (2020)	Total Amount US\$	See budget note:*
GCF Readiness Outcome 1	UNDP CO	66001	12526	71400	Contractual Services - Individual	53.667	26.833	80.500	1
				71600	Travel	3.000	1.000	4.000	2
				72500	Supplies	5.000		5.000	3
				75700	Training, Workshops and Conferences	9.000		9.000	4
Total Outcome 1						70.667	27.833	98.500	



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GCF Readiness Outcome 2	UNDP CO	66001	12526	71400	Contractual Services - Indi- vid	46.833	23.417	70.250	5
				71600	Travel	9.000	4.000	13.000	6
				72500	Supplies	4.000	2.000	6.000	7
				75700	Training, Workshops and Conferences	10.000	4.000	14.000	8
				Total Outcome 2					69.833
GCF Readiness Outcome 3	UNDP CO	66001	12526	71400	Contractual Services - Indi- vid	22.133	11.067	33.200	9
				71600	Travel			-	-
				72500	Supplies			-	-
				75700	Training, Workshops and Conferences			-	-
				Total Outcome 3					22.133
GCF Readiness Outcome 4	UNDP CO	66001	12526	71400	Contractual Services - Indi- vid	91.867	45.933	137.800	10
				71600	Travel	10.000	10.000	20.000	11
				72500	Supplies	5.000	1.000	6.000	12
				75700	Training, Workshops and Conferences	6.000	2.777	8.777	13
				Total Outcome 4					112.867
GCF Readiness Outcome 5	UNDP CO	66001	12526	71400	Contractual Services - Indi- vid	19.200	9.600	28.800	14
				71600	Travel			-	-
				72500	Supplies			-	-
				75700	Training, Workshops and Conferences	7.000	3.500	10.500	15
				Total Outcome 5					26.200



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Total operation costs (Outcome 1+2+3+4+5)								301.700	145.127	446.827	
Contingency (up to 5 % of total operation costs)		UNDP CO	66001	12526	74500	Miscellaneous Expenses		-	8.937	8.937	17
Total operation costs + Contingency								301.700	154.064	455.764	
Project management costs (PMC) up to 7.5% of Total activity Budget		UNDP CO	66001	12526	71400	Contractual Services - India		9.501	4.501	14.002	16
					71600	Travel				-	-
					72500	Supplies				-	-
					75700	Training, Workshops and Conferences				-	-
Total Project management costs								9.501	4.501	14.002	
TOTAL PROJECT COSTS EXCLUDING DP FEE								311.201	158.565	469.766	
DP fee (8.5%)								26.452	13.478	39.930	
TOTAL PROJECT COSTS INCLUDING DP FEE								337.653	172.043	509.696	



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Budget Note	Detailed Description
1	1 Consultant "A" to support the NDA in the overall engagement with the GCF and in the training on the monitoring system for climate finance (5 day/week, 18 months, USD 58.500). 1 consultant "F" to prepare communication pieces, workshops and meetings (2 day/week, 18 months, USD 22.000).
2	Participation in trainings on the monitoring system for climate finance
3	Material for meetings and trainings (printing folders, pens, notebooks, pen-drives, etc.)
4	Development of training on the monitoring system for climate finance
5	1 consultant "B" to update the country programme and facilitate the engagement of relevant stakeholders in consultative processes (3 day/week, 18 months, USD 22.000); 1 consultant "C" expert in gender (2,5 day/week, 18 months, USD 26.250) 1 consultant "F" to prepare information materials regarding the country programme and the gender strategy (2 day/week, 18 months, USD 22.000).
6	Travel costs of participants from 18 Departments of the country to attend two workshops. Between 30 and 45 participants are expected for each workshop with an estimated travel cost of 144 USD/participant
7	Material for workshops (printing folders, pens, notebooks, pen-drives, etc.)
8	4 workshops to develop the Climate Change and Gender Strategy/plan and 2 workshops to update the country programme (USD 2.333 per workshop)
9	1 consultant "C" expert in gender (0,5 day/week, 18 months, USD 5.300) and 1 consultant "E" to support prospective accredited entities (2 day/week, 18 months, USD 27.900).
10	1 consultant "B" to engage stakeholders in development of funding proposal and PPF proposal (1 day/week, 18 months, USD 7.300). 1 consultant "C" expert in gender (1 day/week, 18 months, USD 10.600). 1 consultant "D" to support development of funding proposal and PPF proposal (5 day/week, 18 months, USD 78.000). 1 consultant "E" to support development of funding proposal and PPF proposal (3 day/week, 18 months, USD 41.900)
11	Participation of 2 NDA/project team members and national direct access entities (additional to the ones funded directly by the GCF Sec) in the regional GCF Structured Dialogue.
12	Material for meetings (printing folders, pens, notebooks, pen-drives, etc.)
13	5 meetings to support development of concept notes
14	1 consultant "B" to support the engaging with private sector and conduct an analysis of potential instruments to enhance enabling environments for the crowding in of the private sector in NDC priority sectors (1 day/week, 18 months, USD 7.400). 1 consultant "C" expert in gender (1 day/week, 18 months, USD 10.500). 1 consultant "F" to prepare information materials and communication pieces for the private sector (1 day/week, 18 months, USD 10.900).
15	3 workshops to identify specific investment opportunities
16	Consultant to provide project management services including administrative and financial support, Human resources and Procurement
17	Contingency is reserved for unforeseen circumstances and it can be used only after approval from GCF



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Consultant	Budget US\$ (18 months)	Hours/week	Expertise
A	58.500	30	Climate finance, monitoring and evaluation climate change initiatives, institutional arrangements and coordination.
B	36.700	20	Stakeholders' engagement with focus on private sector, climate change initiatives and financial instruments.
C	52.650	30	Gender, climate change, environmental and social impact assessments.
D	78.000	40	Climate finance, drafting proposals for climate funding, financial structuring and prefeasibility assessments.
E	69.800	40	Stakeholders' engagement with focus on prospective accredited entities, drafting proposals for climate funding.
F	54.900	40	Communication and raising awareness on climate change.



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CLIMATE
FUND

READINESS AND PREPARATORY SUPPORT

PROPOSAL TEMPLATE

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4.2. Procurement Plan

For goods, services, and consultancies to be procured, please list the items, descriptions in relation to the activities in section 2, estimated cost, procurement method, relevant threshold, and the estimated dates. Please include the procurement plan for at least the first tranche of disbursement requested below. Also, please feel free to replicate this table on Excel spreadsheet if needed.

Description of good/service procured	Value of good/service USD	Procurement Method	Threshold associated to method	ESTIMATED START DATE	PROJECTED CONTRACTING DATE
6 Local Consultants	USD 364,552 (total for the 6 consultants)	Competitive and open public call for national consultants as per UNDP policies	No threshold	1 st December 2018	1 st January 2019
Supplies	USD 17,000	Micro-purchasing Local RFQ (Written request for quotation) -	Below USD 5,000 US \$5,000 to \$149,999	1 st January 2019 1 st January 2020	1 st February 2019 1 st February 2020
Workshop planning/ logistics	USD 42,278	Micro-purchasing Local RFQ (Written request for quotation) -	Below USD 5,000 US \$5,000 to \$149,999	1 st January 2019 1 st July 2019 1 st September 2019 1 st January 2020 1 st June 2020	1 st February 2019 1 st August 2019 1 st October 2019 1 st February 2020 1 st July 2020
Travel (local and international)	Local USD 17,000 International USD 20,000	Micro-purchasing	Below USD 5,000	To be determined.	To be determined.
Total	USD 460,830				



4.3. Disbursement schedule

Specify the proposed schedule for requesting disbursements from the GCF, including amounts and periodicity. For amounts requested, keep to multiples of USD 5,000, and for periodicity, specify whether it's quarterly, bi-annually or annually only.

Framework Readiness and Preparatory Support Grant Agreement" between GCF and UNDP. Under Clause 4 of said Framework Agreement, "the Delivery Partner shall be entitled to submit two Requests for Disbursement each year. Each such Request for Disbursement must be submitted to the Fund within 30 days of receipt by the Fund of the Portfolio Report referred to in Section 9.02.

Disbursement requests will be made as follows: First disbursement will be requested within the first month following the Effective Date. Second, third and any subsequent disbursement requests will be included in UNDP's GCF R&PS portfolio disbursement request every January and July for the amounts needed for the next implementation period (in- between disbursement requests submissions).

4.4. Additional information

This box provides an opportunity to include further explanations related to the budget, procurement plan and disbursement schedule, including any details on the assumptions to justify costs presented in the budget.

SECTION 5: IMPLEMENTATION ARRANGEMENTS AND OTHER INFORMATION

a. Please attach an "implementation map" or describe how funds will be managed by the NDA/FP or delivery partner

The Government of Uruguay has identified UNDP as its delivery partner for this second phase of the readiness programme to facilitate the sustainability of the actions and team of experts. UNDP will take responsibility, under the leadership and guidance of the NDA, for the implementation of the proposed programme including fiduciary management.

As per the Framework Readiness and Preparatory Support Grant Agreement, the project will be implemented under Direct Implementation Modality following UNDP POPP guidance available here:

<https://info.undp.org/global/popp/frm/Pages/direct-implementation-dim-modality.aspx>

Implementation will follow the Operational Guide of the Internal Control Framework for UNDP as well as all relevant Programme and Operational Policies and Procedures applicable to DIM projects.

UNDP – Uruguay will be responsible for services related to recruitment of project staff and consultants, travel, contracting, and organization of national workshops. UNDP-GEF will delegate spending authority to the UNDP Country Office. UNDP-GEF will approve the budget, and UNDP Country Office can spend within the approved spending limits established by UNDP-GEF. The project will be overseen by the UNDP-GEF Global GCF Readiness Coordinator while day-to-day management will be undertaken by the Country Office with the support of Consultant A and in coordination with the NDA.

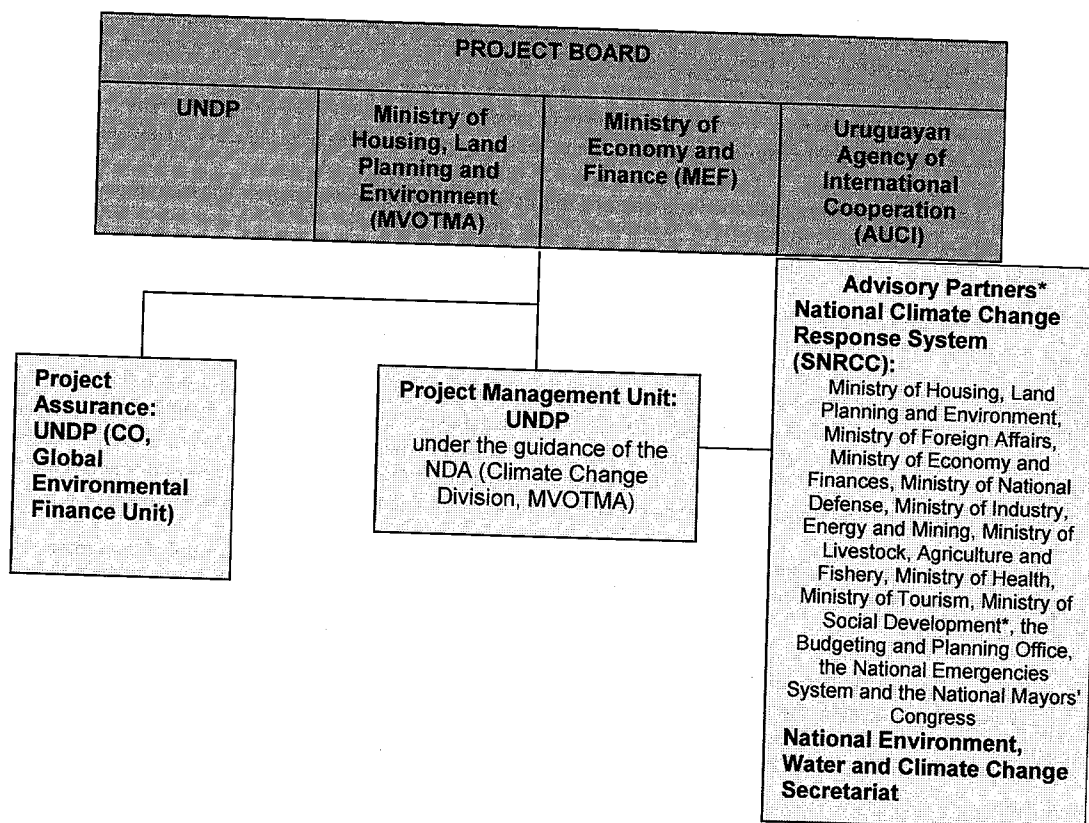
UNDP-GEF will provide financial and technical oversight services for the outcomes and outputs of the project. UNDP will ensure that project monitoring and evaluation run according to an agreed schedule, and in line with UNDP and GCF requirements.

UNDP as the Delivery Partner will undertake all reasonable efforts to ensure that none of the project funds are used to provide support to individuals or entities associated with terrorism and that the recipients of any amounts provided by UNDP hereunder do not appear on the list maintained by the Security Council Committee established pursuant to resolution 1267 (1999). The list can be accessed via http://www.un.org/sc/committees/1267/aq_sanctions_list.shtml.

Project risks			
Description	Type	Impact & Probability	Mitigation Measures Envisioned
Lack of political support for the development of the project.	Organizational Political	<p>Low level of risk</p> <p>Enter probability on a scale from 1 (low) to 5 (high) P = 1</p> <p>Enter impact on a scale from 1 (low) to 5 (high) I = 3</p>	Promote sustained political support during the project. The action lines under Paragraph 4 and 7 of the PNCC gives confidence about political support required, since it refers to international finance access.
Lack of capacities to carry out the project activities.	Operational	<p>Low level of risk</p> <p>Enter probability on a scale from 1 (low) to 5 (high) P = 2</p> <p>Enter impact on a scale from 1 (low) to 5 (high) I = 4</p>	Maintain the team of experts from the development of Readiness Support 1 and identify additional human resources at early stages of project implementation.



The NDA and UNDP will maintain the implementation arrangements established for the first Readiness and Preparatory Support, as described below in the following graphic:



* The Advisory Partners report to the National Environmental Cabinet (comprised of the President of Uruguay, the Environment, Water and Climate Change National Secretariat, and the Ministries of Housing, Land Planning and Environment, Agriculture, Industry, Energy and Mines, Health, National Defense and Economy and Finance). The **Project Management Unit** will oversee project implementation, and will be led by UNDP Uruguay under the leadership and guidance of the NDA (MVOTMA-Climate Change Division).

b. Other relevant information

UNDP has been requested to be the delivery partner for this readiness programme, but might also be the international IE for the project proposals to be presented by Uruguay to the Fund. Conflicts of interests may arise, in which case the NDA will implement the following measures to manage them:

- The roles of coordination and facilitation of the NDA and UNDP respectively are clearly differentiated in this document.
- The prioritization of investments and projects to be developed will be made through a broad consultation process with relevant stakeholders, including other potential implementing entities for Uruguay, and the final validation of these priorities will be carried out by the National Environmental Cabinet and/or the National System to Respond to Climate Change (SNRCC) with the participation of other government agencies to ensure chosen priorities are fully aligned with national plans and strategies and adequately include inputs from consulted stakeholders. UNDP will not take part in the project prioritization process.

Audit: The project will be audited according to UNDP Financial Regulations and Rules and applicable audit policies on DIM implemented projects.



Annual Work Plan

Uruguay - Montevideo

Project: 00115131

Project Title: URU/19/001 GCF Readiness Fase 2

Year: 2019

Report Date: 19/12/2018

Output	Key Activities	Timeframe		Responsible Party	Planned Budget			
		Start	End		Fund	Donor	Budget Descr	Amount US\$
00112876 GCF Readiness Fase 2	1. GCF READINESS OUTC	1/1/2019	31/12/2020	UNDP	66001	GCF	72500 Supplies	5,000.00
					66001	GCF	71400 Contractual Services - Individ	53,667.00
					66001	GCF	75700 Training, Workshops and Confe	9,000.00
					66001	GCF	71600 Travel	3,000.00
	2. GCF READINESS OUTC	1/1/2019	31/12/2020	UNDP	66001	GCF	71600 Travel	9,000.00
					66001	GCF	72500 Supplies	4,000.00
					66001	GCF	75700 Training, Workshops and Confe	10,000.00
					66001	GCF	71400 Contractual Services - Individ	46,833.00
	3. GCF READINESS OUTC	1/1/2019	31/12/2020	UNDP	66001	GCF	71400 Contractual Services - Individ	22,133.00
					66001	GCF	72500 Supplies	5,000.00
	4. GCF READINESS OUTC	1/1/2019	31/12/2020	UNDP	66001	GCF	71400 Contractual Services - Individ	91,867.00
					66001	GCF	75700 Training, Workshops and Confe	6,000.00
					66001	GCF	71600 Travel	10,000.00
	5. GCF READINESS OUTC	1/1/2019	31/12/2020	UNDP	66001	GCF	75700 Training, Workshops and Confe	7,000.00
					66001	GCF	71400 Contractual Services - Individ	19,200.00
7. Project Management Cos	1/1/2019	31/12/2020	UNDP	66001	GCF	71400 Contractual Services - Individ	9,501.00	
				66001	GCF	71400 Contractual Services - Individ	311,201.00	
TOTAL								311,201.00
GRAND TOTAL								311,201.00



Annual Work Plan

Uruguay - Montevideo

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Output	Key Activities	Timeframe		Responsible Party	Planned Budget			
		Start	End		Fund	Donor	Budget Descr	Amount US\$
00112876 GCF Readiness Fase 2	1. GCF READINESS OUTC	1/1/2019	31/12/2020	UNDP	66001	GCF	71600 Travel	1,000.00
				UNDP	66001	GCF	71400 Contractual Services - Individ	26,833.00
	2. GCF READINESS OUTC	1/1/2019	31/12/2020	UNDP	66001	GCF	75700 Training, Workshops and Confe	4,000.00
				UNDP	66001	GCF	72500 Supplies	2,000.00
				UNDP	66001	GCF	71600 Travel	4,000.00
	3. GCF READINESS OUTC	1/1/2019	31/12/2020	UNDP	66001	GCF	71400 Contractual Services - Individ	23,417.00
	4. GCF READINESS OUTC	1/1/2019	31/12/2020	UNDP	66001	GCF	71400 Contractual Services - Individ	11,067.00
				UNDP	66001	GCF	71600 Travel	10,000.00
				UNDP	66001	GCF	72500 Supplies	1,000.00
				UNDP	66001	GCF	71400 Contractual Services - Individ	45,933.00
	5. GCF READINESS OUTC	1/1/2019	31/12/2020	UNDP	66001	GCF	75700 Training, Workshops and Confe	2,777.00
				UNDP	66001	GCF	75700 Training, Workshops and Confe	3,500.00
	6. CONTINGENCY	1/1/2019	31/12/2020	UNDP	66001	GCF	71400 Contractual Services - Individ	9,600.00
	7. Project Management Cost	1/1/2019	31/12/2020	UNDP	66001	GCF	74500 Miscellaneous Expenses	8,937.00
TOTAL					66001	GCF	71400 Contractual Services - Individ	4,501.00
GRAND TOTAL								158,565.00
								158,565.00